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TRANSMITTAL FORM

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Total Number of Pages in This Submission

10

Application Number

09/817,015

Filing Date

March 23, 2001

First Named Inventor

Willem P.C. Stemmer

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

02-104720US

ENCLOSURES (check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Response

☐

After Final

☐

Affidavits/declaration(s)

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Extension of Time Request

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Express Abandonment Request

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Information Disclosure Statement

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Certified Copy of Priority Document(s)

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Response to Missing Parts/
Incomplete Application

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Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐

Assignment Papers
(for an Application)

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Drawing(s)

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Licensing-related Papers

☐

Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation
Change of Correspondence
Address

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Terminal Disclaimer

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Small Entity Statement

☐

Request for Refund

☐

After Allowance Communication
to Group

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Appeal Communication to Board
of Appeals and Interferences

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Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

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Additional Enclosure(s)
(please identify below):

receipt acknowledgment
postcard & PCT Search
Report

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Individual name

Jonathan Alan Quine Reg. No. 41,261 The Law Offices of Jonathan Alan Quine

Signature

Jonathan Alan Quine

Date

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The Law Offices of Jonathan Alan Quine

By Deborah Berwick
Deborah Berwick

Attorney Docket No. 02-104720US
Client Ref. No. 0127.310US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Willem P.C. Stemmer, *et al.*

Application No.: 09/817,015

Filed: March 23, 2001

For: METHODS FOR MODULATING
CELLULAR AND ORGANISMAL
PHENOTYPES

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

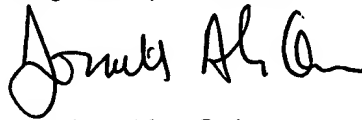
Sir:

The references cited on attached form PTO-1449 are being called to the
attention of the Examiner. Copies of the references are enclosed. It is respectfully requested
that the cited information be expressly considered during the prosecution of this application,
and the references be made of record therein and appear among the "references cited" on any
patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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